

ON HIGHER ANALOGS OF TOPOLOGICAL COMPLEXITY

YULI B. RUDYAK

ABSTRACT. Farber introduced a notion of topological complexity $\mathrm{TC}(X)$ that is related to robotics. Here we introduce a series of numerical invariants $\mathrm{TC}_n(X)$, $n = 2, 3, \dots$ such that $\mathrm{TC}_2(X) = \mathrm{TC}(X)$ and $\mathrm{TC}_n(X) \leq \mathrm{TC}_{n+1}(X)$. For these higher complexities, we define their symmetric versions that can also be regarded as higher analogs of the symmetric topological complexity.

1. INTRODUCTION

In [F03] Farber introduced a notion of topological complexity $\mathrm{TC}(X)$ and related it to a problem of robot motion planning algorithm. Here we introduce a series of numerical invariants $\mathrm{TC}_n(X)$, $n = 2, 3, \dots$ such that $\mathrm{TC}_2(X) = \mathrm{TC}(X)$ and $\mathrm{TC}_n(X) \leq \mathrm{TC}_{n+1}(X)$. We learn some properties of TC_n and, in particular, compute $\mathrm{TC}_n(S^k)$. We also define symmetric analogs of higher complexities (=higher analogs of symmetric complexity) introduced in [F06, Section 31] and developed in [FG07, GL09].

Throughout the paper $\mathrm{cat} X$ denotes the Lusternik–Schnirelmann category of a space X , i.e. $\mathrm{cat} X$ is one less than the minimal of open and contractible sets in X that cover X . For example, X is contractible iff $\mathrm{cat} X = 0$.

I am grateful to Mark Grant, Jesús González and Peter Landweber who have read the previous versions of the paper and made several useful and helpful comments.

2. THE SCHWARZ GENUS OF A MAP

Given a map $f : X \rightarrow Y$ with X, Y path connected, a *fibrational substitute* of f is defined as a fibration $\hat{f} : E \rightarrow Y$ such that there

exists a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{h} & E \\ f \downarrow & & \downarrow \hat{f} \\ Y & \xlongequal{\quad} & Y \end{array}$$

where h is a homotopy equivalence. The well-known result of Serre [S51] tells us that every map has a fibrational substitute, and it can be proved that any two fibrational substitutes of a map are fiber homotopy equivalent fibrations.

Given a map $f : X \rightarrow Y$, we say that a subset A of Y is a local f -section if there exists a map $s : A \rightarrow X$ (a local section) such that $fs = \text{id}$.

The Schwarz genus of a fibration $p : E \rightarrow B$ is defined as a minimum number k such that there exists an open covering U_1, \dots, U_k of B where each map U_i has a local p -section, [Sva66]. We define the Schwarz genus of a map f as the Schwarz genus of its fibrational substitute, and we denote it by $\mathbf{genus}(f)$. This notion is well-defined since any two fibrational substitutes of a map are fiber homotopy equivalent.

2.1. Proposition. *For any diagram $X \xrightarrow{f} Y \xrightarrow{g} Z$ we have $\mathbf{genus}(gf) \geq \mathbf{genus}(g)$.*

Proof. This is clear if both f and g (and therefore gf) are fibrations. In the general case, replace f and g by fibrational substitutes. \square

The following remark is useful for applications.

2.2. Proposition. *Let $p : E \rightarrow B$ be a fibration over a polyhedron B . Suppose that $B = X_1 \cup \dots \cup X_n$ where each X_i is an ENR and has a local p -section. Then $\mathbf{genus}(p) \leq n$.*

Proof. We enlarge each X_i to an open subset of B over which there is a section of p . Take an ENR $X_i = X$ an embedding $X \subset B \subset \mathbb{R}^N$. Let $r : V \rightarrow X$ be a neighborhood retraction. Then there exists an open set U of V with $X \subset U \subset V$ such that the maps $U \subset V$ and $U \subset V \xrightarrow{r} X \subset V$ are homotopic, [D95, Chapter 4, especially 8.6, 8.7]. So, there is a homotopy $H : U \times I \rightarrow V$, $H(u, 0) = u$, $H(u, 1) \subset X$. Consider a section $s : X \rightarrow E$ and put $g : U \rightarrow E$, $g(u) = sH(u, 1)$. Now use the homotopy extension property to construct a homotopy $G : U \times I \rightarrow E$ with $pG = H$ and $G(u, 1) = g(u)$. Then $\sigma : U \rightarrow E$, $\sigma(u) = G(u, 0)$ is a section over U . \square

3. HIGHER TOPOLOGICAL COMPLEXITY

3.1. Definition. Let $J_n, n \in \mathbb{N}$ denote the wedge of n closed intervals $[0, 1]_i, i = 1, \dots, n$ where the zero points $0_i \in [0, 1]_i$ are identified. Consider a path connected space X and set $T_n(X) := X^{J_n}$. There is an obvious map (fibration) $e_n : T_n(X) \rightarrow X^n, e_n(f) = (f(1_1), \dots, f(1_n))$ where 1_i is the unit in $[0, 1]_i$, and we define $\text{TC}_n(X)$ to be the Schwarz genus of e_n .

3.2. Remarks. 1. The above definition makes also sense for $\text{TC}_1(X)$ (to be always equal to 1), but the notation that are started from $\text{TC}_n, n > 1$ turns out to be more elegant.

2. It is easy to see that $\text{TC}_n(X) \geq \text{TC}_n(Y)$ if X dominates Y . So, TC_n is a homotopy invariant.

3. It is also worth noting that the fibration e_n can be described as follows: Take the diagonal map $d_n : X \rightarrow X^n$ and regard e_n as its fibrational substitute à la Serre. Hence, in fact, the higher topological complexity $\text{TC}_n(X)$ is the Schwarz genus of the diagonal map $d_n : X \rightarrow X^n$. Note also that the (homotopy) fiber of e_n is $(\Omega X)^{n-1}$ where ΩX denotes the loop space of X .

4. The fibration e_n is homotopy equivalent to the following fibration e'_n . Define $S_n(X) \subset X^I \times X^n$ as

$$S_n(X) = \{(\alpha, x_1, \dots, x_n) \mid x_i \in \text{Im}(\alpha : I \rightarrow X, i = 1, \dots, n)\}$$

and define $e'_n : S_n(X) \rightarrow X^n$ as $e'_n(\alpha, x_1, \dots, x_n) = (x_1, \dots, x_n)$. To prove that e'_n is a fibrational substitute of d_n , consider the homotopy equivalence $h : X \rightarrow S_n(X), h(x) = (\varepsilon_x, x, \dots, x)$ where ε_x is the constant path at x . Note that $e'_n h = d_n : X \rightarrow X^n$, and thus e'_n is the fibrational substitute of d_n .

5. The fibration e_n is homotopy equivalent to the fibration

$$e''_n : X^I \rightarrow X^n, e''_n(\alpha) = \left(\alpha(0), \alpha\left(\frac{1}{n-1}\right), \dots, \alpha\left(\frac{k}{n-1}\right), \dots, \alpha(1) \right)$$

where $\alpha : I \rightarrow X$. Indeed, consider the homotopy equivalence $h : X \rightarrow X^I, h(x) = \varepsilon_x$, and note that $e''_n h = d_n$.

6. It is easy to see (especially in view of the previous item) that $\text{TC}_2(X)$ coincides with the topological complexity $\text{TC}(X)$ introduced by Farber [F03].

7. Mark Grant pointed out to me that, similarly to $\text{TC}_2(X)$, the invariant $\text{TC}_n(X)$ is related to robotics. In detail, $\text{TC}_2(X)$ is related to motion planning algorithm when a robot moves from a point to another

point, while $\text{TC}_n(X)$ is related to motion planning problem whose input is not only an initial and final point but also an additional $n - 2$ intermediate points.

3.3. Proposition. $\text{TC}_n(X) \leq \text{TC}_{n+1}(X)$.

Proof. Let $d_k : X \rightarrow X^k$ denote the diagonal, $d_k(x) = (x, \dots, x)$. Note that $\text{TC}_k(X)$ is the Schwarz genus of the map d_k . Define

$$\varphi : X^n \rightarrow X^{n+1}, \varphi(x_1, \dots, x_{n-1}, x_n) = (x_1, \dots, x_{n-1}, x_n, x_n).$$

Then $d_{n+1} = \varphi d_n$, and hence the Schwarz genus of d_{n+1} is greater than or equal to the Schwarz genus of d_n by Proposition 2.1. \square

To compute TC_n , we can apply known methods of calculation of the Schwarz genus. For example, the Schwarz genus of a fibration over B does not exceed $1 + \text{cat } B$. So,

$$(3.1) \quad \text{TC}_n(X) \leq 1 + \text{cat}(X^n) \leq n \text{cat } X + 1.$$

Furthermore, we have the following claim, [Sva66, Theorem 4] (here, generally, $H^*(X; A_i)$ denotes cohomology with twisted coefficients).

3.4. Proposition. *Let $d_n : X \rightarrow X^n$ be the diagonal. If there exist $u_i \in H^*(X^n; A_i), i = 1, \dots, m$ so that $d_n^* u_i = 0$ and*

$$u_1 \smile \dots \smile u_m \neq 0 \in H^*(X^n; A_1 \otimes \dots \otimes A_m),$$

then $\text{TC}_n(X) \geq m + 1$. \square

3.5. Proposition. *If X is a connected finite CW-space that is not contractible, then $\text{TC}_n(X) \geq n$.*

Proof. If X is $(k - 1)$ -connected with $k > 1$ then $H^k(X; \mathbb{F}) \neq 0$ for some field \mathbb{F} . Take a non-zero $v \in H^k(X; \mathbb{F})$ and put $v_i = p_i^* v$ where $p_i : X^n \rightarrow X$ is the projection onto the i th factor. Then $u_i := v_i - v_n \in \text{Ker } d_n^*$ for $i = 1, \dots, n - 1$ and $u_1 \smile \dots \smile u_{n-1} \neq 0$, and so $\text{TC}_n(X) \geq n$ by Proposition 3.4.

Now, assume that X is not simply connected. Take the Berstein class $v \in H^1(X; I)$ where I is the augmentation ideal in the integral group ring of $\pi_1(X)$, see [B76, DR09]. Then argue as in the previous paragraph. \square

4. AN EXAMPLE: $\mathrm{TC}_n(S^k)$

Farber [F03, Theorem 8] proved that $\mathrm{TC}(S^k) = 2$ for k odd and $\mathrm{TC}(S^k) = 3$ for k even. We extend this result (and method) and show that $\mathrm{TC}_n(S^k) = n$ for k odd and $\mathrm{TC}_n(S^k) = n + 1$ for k even. Fix $n > 2$ and $k > 0$.

For k even, take a generator $u \in H^k(S^k) = \mathbb{Z}$ and denote by u_i its image in the copy S_i^k of S^k , $i = 1, \dots, n$. In the class $H^k((S^k)^n)$, consider the element

$$v = \left(\sum_{i=1}^{n-1} 1 \otimes \cdots \otimes 1 \otimes u_i \otimes 1 \otimes \cdots \otimes 1 \right) - 1 \otimes \cdots \otimes 1 \otimes (n-1)u_n.$$

Then $v^n = (1-n)n!(u_1 \otimes \cdots \otimes u_n)$ since k is even, and so $v^n \neq 0$. On the other hand, $d_n^* v = 0$. Thus, $\mathrm{TC}_n(S^k) = n + 1$ by (3.1) and Proposition 3.4.

Now we prove that $\mathrm{TC}_n(S^k) = n$ for k odd. Consider a unit tangent vector field V on S^k , $V = \{V_x \mid x \in S^k\}$. Given $x, y \in S^k$ such that y is the antipode of x , denote by $[x, y]$ the path $[0, 1]$ determined by the geodesic semicircle joining x to y and such that the V_x is the direction of the semicircle at x .

Furthermore, if x and y are not antipodes, denote by $[x, y]$ the path $[0, 1]$ determined by the shortest geodesic from x to y .

Define an injective (non-continuous) function

$$\begin{aligned} \varphi : (S^k)^n &\longrightarrow T_n(S^k), \\ \varphi(x_1, \dots, x_n) &= \{[x_1, x_1], \dots, [x_1, x_n]\}. \end{aligned}$$

For each $j = 0, \dots, n-1$ consider the submanifold (with boundary) U_j in $(S^k)^n$ such that each n -tuple (x_1, \dots, x_n) in U_j has exactly j antipodes to x_1 . Then $\varphi|_{U_j} : U_j \rightarrow T_n(S^k)$ is a continuous section of e_n , and $\bigcup_{i=0}^{n-1} U_i = (S^k)^n$. Furthermore, each U_i , $i = 0, \dots, n-1$ is an ENR, and so $\mathrm{TC}_n(S^k) \leq n$ by Proposition 2.2. Thus, $\mathrm{TC}_n(S^k) = n$ by Proposition 3.5.

5. SEQUENCES $\{\mathrm{TC}_n(X)\}$

Of course, it is useful and interesting to compute invariants $\mathrm{TC}_n(X)$ for different spaces.

However, there is a general problem: to describe all possible (non-decreasing) sequences that can be realized as $\{\mathrm{TC}_n(X)\}_{n=1}^\infty$ with some fixed X .

As a first step, note that the inequality $\mathrm{TC}(X) \geq 1 + \mathrm{cat} X$ ([F08, Proposition 4.19]) together with (3.1) imply that

$$(5.1) \quad \mathrm{TC}_n(X) \leq n \mathrm{TC}_2(X) - n + 1.$$

So, any sequence $\{\mathrm{TC}_n(X)\}$ has linear growth.

Given $a \in \mathbb{N}$, we can also consider two functions

$$f_a(n) = \max_X \{\mathrm{TC}_n(X) \mid \mathrm{TC}(X) = a\}$$

and

$$g_a(n) = \min_X \{\mathrm{TC}_n(X) \mid \mathrm{TC}(X) = a\}.$$

So,

$$(5.2) \quad n \leq g_a(n) \leq f_a(n) \leq na - n + 1$$

We can ask about the evaluation of the functions f_a and g_a . (This question was inspired by a discussion with M. Grant.)

Now we show that $g_3(n) < f_3(n)$ for $n > 2$.

We have $\mathrm{TC}(S^2) = 3 = \mathrm{TC}(T^2)$ (here T^2 is the 2-torus, the last equality can be found in [F03, Theorem 13]).

5.1. Proposition. $\mathrm{TC}_n(T^2) \geq 2n - 1$.

Proof. Let x, y be the canonical generators of $H^1(T^2)$. Put $x_i = p_i^* x$ where $p_i : (T^2)^n \rightarrow T^2$ is the projection on i th factor. Similarly, put $y_i = p^* y$. Then $d_n^*(x_2 - x_i) = 0 = d_n^*(y_2 - y_i)$ for $i = 2, \dots, n$. On the other hand, the product

$$(x_2 - x_1) \smile \dots \smile (x_n - x_1) \smile (y_2 - y_1) \smile \dots \smile (y_n - y_1)$$

is non-zero. Indeed, it maps to $x_2 \smile \dots \smile x_n \smile y_2 \smile \dots \smile y_n \neq 0$ under the inclusion $(T^2)^{(n-1)} \rightarrow (T^2)^n$ on the last $n - 1$ copies of T^2 .

Now the claim follows from Proposition 3.4. \square

Thus, for $n > 2$ we have

$$g_3(n) \leq \mathrm{TC}_n(S^2) = n + 1 < 2n - 1 \leq \mathrm{TC}_n(T^2) \leq f_3(n).$$

So, we see that the sequence $\{\mathrm{TC}_n(X)\}$ contains more information on (the complexity of) a space X than just the number $\mathrm{TC}(X)$.

6. SYMMETRIC TOPOLOGICAL COMPLEXITY

Farber [F06, Section 31] considered a symmetric version $\mathrm{TC}^S(X)$ of the topological complexity. More detailed information about this invariant can be found in the papers Farber–Grant [FG07] and González–Landweber [GL09]. We define its higher analogs $\mathrm{TC}_n^S(X)$ as follows: Let $\Delta = \Delta_X^n \subset X^n$ be the discriminant,

$$\Delta = \{(x_1, \dots, x_n) \mid x_i = x_j \text{ for some pair } (i, j) \text{ with } i \neq j\}$$

The space $X^n \setminus \Delta$ consists of ordered configurations of n distinct points in X and is frequently denoted by $F(X, n)$. Let $v_n : Y \rightarrow F(X, n)$ be the restriction of the fibration e_n . Then the symmetric group Σ_n acts on Y by permuting paths and on $F(X, n)$ by permuting coordinates. These actions are free and the map v_n is equivariant. So, the map v_n yields a map (fibration) ev_n of the corresponding orbit spaces, and we define $\mathrm{TC}_n^S(X)$ as $\mathrm{TC}_n^S(X) = 1 + \mathbf{genus}(ev_n)$. Note that, for the symmetric complexity we have $\mathrm{TC}^S(X) = \mathrm{TC}_2^S(X)$.

It is worth mentioning that in case $X = \mathbb{R}^2$ the space $F(X, n)/\Sigma_n$ is the classifying space for the n -braid group β_n . So, the symmetric topological complexity TC_n^S turns out to be related to the topological complexity of algorithms considered by Smale [Sm87] and Vassiliev [V88].

REFERENCES

- [B76] I. Bernstein. On the Lusternik–Schnirelmann category of Grassmannians. *Math. Proc. Camb. Phil. Soc.* **79** (1976), no 1, 129–134.
- [DR09] Dranishnikov, A., Rudyak, Yu.: On the Berstein-Schwarz Theorem in dimension 2. *Math. Proc. Cambridge Phil. Soc.* 146 (2009), no 2, 407–413.
- [D95] Dold, Albrecht: *Lectures on algebraic topology*. Reprint of the 1972 edition. Classics in Mathematics. Springer-Verlag, Berlin, 1995.
- [F03] Farber, M.: Topological complexity of motion planning, *Discrete Comput. Geom.* 29 (2003), 211–221
- [F06] Farber, M.: Topology of robot motion planning. *Morse theoretic methods in nonlinear analysis and in symplectic topology*, 185–230, NATO Sci. Ser. II Math. Phys. Chem., 217, Springer, Dordrecht, 2006.
- [F08] Farber, M.: *Invitation to topological robotics*. Zurich Lectures in Advanced Mathematics, EMS, Zürich, 2008.
- [FG07] Farber, M.; Grant, M. Symmetric motion planning. *Topology and robotics*, 85–104, Contemp. Math., **438**, Amer. Math. Soc., Providence, RI, 2007.
- [GL09] González, J.; Landweber, P. Symmetric topological complexity of projective and lens spaces. *Algebr. Geom. Topol.* **9** (2009), no. 1, 473–494.
- [S51] Serre, J.-P. Homologie singulière des espaces fibrés. Applications. *Ann. of Math.* (2) **54**, (1951). 425–505.
- [Sm87] Smale, S. On the topology of algorithms. I. *J. Complexity* **3** (1987) no. 2, 81–89.

- [Sva66] Švarc, A: The genus of a fiber space, *Amer. Math. Soc. Transl. Series 2*, **55** (1966), 49–140.
- [V88] Vassiliev, V: Cohomology of braid groups and complexity of algorithms, *Functional Analysis and its Appl.*, **22** (1988), 15–24

YULI B. RUDYAK, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF FLORIDA,
358 LITTLE HALL, GAINESVILLE, FL 32611-8105, USA
E-mail address: rudyak@ufl.edu